

On a Characterization of the Grassmann Graphs $J_q(2d, d)$

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based on joint work with **Jack Koolen**

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The Grassmann graph $J_q(n, d)$

- ▶ Let $q \geq 2$ be a prime power, $n \geq d \geq 1$ be integers.
- ▶ $J_q(n, d)$ has as vertices all d -dim. subspaces $U \leq \mathbb{F}_q^n$.
- ▶ $U_1 \sim U_2$ if $\dim(U_1 \cap U_2) = d - 1$.
- ▶ $J_q(n, d) \cong J_q(n, n - d)$, diameter equals $\min(d, n - d)$.
- ▶ Distance-regular graph (DRG, for short).
- ▶ Q -polynomial.

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Characterization by $\iota(\Gamma)$

Theorem (K. Metsch, 1995)

The Grassmann graph $J_q(n, d)$ is characterized by its intersection array with the following *possible exceptions*:

- ▶ $n = 2d$, $n = 2d \pm 1$,
- ▶ $n = 2d \pm 2$ if $q \in \{2, 3\}$,
- ▶ $n = 2d \pm 3$ if $q = 2$.

An exception, the *twisted Grassmann graph* with parameters as of $J_q(2d \pm 1, d)$ for all q , was constructed by E. van Dam and J.H. Koolen (2004).

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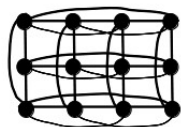
Maximal cliques

$\Gamma_1(x)$ = the **local** graph of vertex x of a graph Γ .

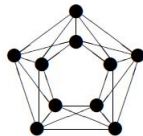
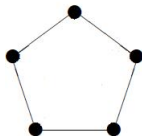
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$\Gamma_1(x)$ = q -clique extension of $\begin{bmatrix} n-d \\ 1 \end{bmatrix} \times \begin{bmatrix} d \\ 1 \end{bmatrix}$ -lattice,

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3×4 -lattice



the 2-clique extension of 5-gon

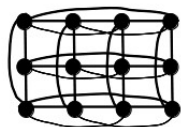
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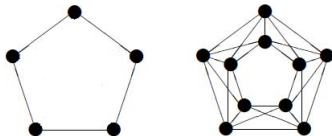
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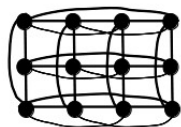
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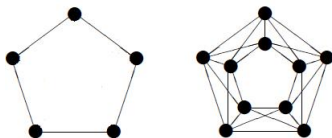
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$\Gamma \rightarrow$ partial linear space

Let Γ be $J_q(n, d)$.

Then:

- ▶ the set \mathcal{P} of all vertices of Γ ,
 - ▶ the set \mathcal{L} of all maximal cliques of the same size in Γ
- form a partial linear space, while Γ is its **point graph**.

Partial linear space is a set \mathcal{P} of *points* and a set \mathcal{L} of *lines* (subsets of \mathcal{P}):

- ▶ any line contains at least two points;
- ▶ any two points are on at most one line;

Now suppose Γ has the same intersection array as $J_q(n, d)$.
A key idea to recognize Γ as $J_q(n, d)$ is to recover the corresponding partial linear space...

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Characterization: partial linear space $\rightarrow \Gamma$

.. and then recognize this partial linear space.

Theorem (Ray-Chaudhuri & Sprague, 1976)

Let $(\mathcal{P}, \mathcal{L})$ be a partial linear space s.t. for some $q \geq 2$:

- ▶ each line has at least $q^2 + q + 1$ points,
- ▶ each point is on more than $q + 1$ lines,
- ▶ if $p \in \mathcal{P}$ and $L \in \mathcal{L}$ such that $d(p, L) = 1$, then there are exactly $q + 1$ lines on p meeting L ,
- ▶ if $p, p' \in \mathcal{P}$ are at distance 2, then there are exactly $q + 1$ lines on p such that $d(p', L) = 1$,
- ▶ the point graph Γ of $(\mathcal{P}, \mathcal{L})$ is connected.

Then $(\mathcal{P}, \mathcal{L}, \in) \cong ([\begin{smallmatrix} V \\ d \end{smallmatrix}], [\begin{smallmatrix} V \\ d+1 \end{smallmatrix}], \subset)$ for a vector space $V = \mathbb{F}_q^n$, and $\Gamma \cong J_q(n, d)$.

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$\iota(\Gamma) \rightarrow?$ partial linear space

The Bruck/Bose-Laskar/Metsch argument

Suppose that Γ is a graph, regular with valency k and:

- ▶ for any pair of adjacent vertices, the number of its common neighbours = λ (relatively *large*),
- ▶ for any pair of non-adjacent vertices, the number of its common neighbours $\leq \mu$ (relatively *small*),
- ▶ the valency k is bounded above in terms of λ and μ .

Then Γ contains a set of **grand** (large maximal) cliques s.t.

- ▶ each pair of adjacent vertices is contained in exactly one grand clique (\rightarrow **line**),
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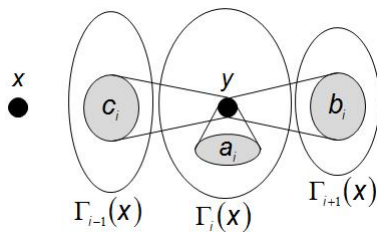
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In terms of intersection numbers:

$$k = b_0$$

$$\lambda = a_1$$

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Unfortunately, this purely combinatorial argument does not work for $J_q(n, d)$, if $n \simeq 2d$:

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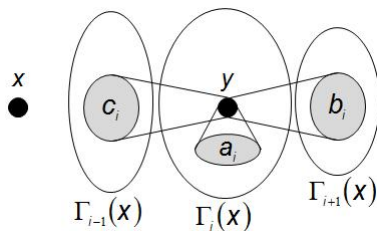
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The Terwilliger polynomial

- ▶ Let Γ be a Q -polynomial DRG with diameter $D \geq 3$.
- ▶ Terwilliger (early 1990's):
 \exists a polynomial p_T of degree 4 such that, for any vertex $x \in \Gamma$, and any non-principal eigenvalue η of $\Gamma_1(x)$ (*a local eigenvalue at x*) we have $p_T(\eta) \geq 0$.
- ▶ p_T only depends on the intersection numbers of Γ and the Q -polynomial ordering of primitive idempotents of its Bose-Mesner algebra.
- ▶ We call p_T the **Terwilliger polynomial**.

See:

- P. Terwilliger, *Lecture Note on Terwilliger algebra* (edited by H. Suzuki), 1993.
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$$\iota(\Gamma) = \iota(J_q(2d, d))$$

Suppose a DRG Γ has the intersection array of $J_q(2d, d)$.

- ▶ Its Terwilliger polynomial p_T has the three distinct roots:

$$-q-1, \quad -1, \quad \text{and} \quad \frac{q^2(q^{d-1}-1)}{q-1} - 1 \quad (\text{of multiplicity } 2),$$

while the leading term coefficient of p_T is negative.

- ▶ $p_T(\eta) \geq 0 \Rightarrow$ a local non-principal eigenvalue η at any vertex $x \in \Gamma$ satisfies:

$$\eta \in [-q-1, -1] \quad \text{or} \quad \eta = \frac{q^2(q^{d-1}-1)}{q-1} - 1 =: \hat{\theta}_d.$$

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Spectrum of $\Gamma_1(x)$

Suppose that $\Gamma_1(x)$ has spectrum

$$(-q-1)^{g_1}, \quad \varepsilon_1, \varepsilon_2, \dots, \varepsilon_m, \quad (-1)^{g_2}, \quad (\hat{\theta}_d)^{g_3}, \quad (a_1)^1,$$

where $-q-1 < \varepsilon_i < -1$ and a_1 is the valency of $\Gamma_1(x)$.

Let Δ be the q -clique extension of the $\begin{bmatrix} d \\ 1 \end{bmatrix} \times \begin{bmatrix} d \\ 1 \end{bmatrix}$ -lattice, i.e., $\Delta \simeq$ the local graph of $J_q(2d, d)$.

Then Δ has spectrum

$$(-q-1)^{f_1}, \quad (-1)^{f_2}, \quad (\hat{\theta}_d)^{f_3}, \quad (a_1)^1,$$

for some multiplicities f_1, f_2, f_3 depending on q and d .

We claim that $\Gamma_1(x)$ has the same spectrum as Δ , i.e.,

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Note that, by [BCN, Thm 4.4.4], $g_1 \geq f_1$.

Since $\Gamma_1(x)$ and Δ have the same number b_0 of vertices and valency a_1 , we have the standard equations:

$$\sum_{i=1}^3 g_i + m + 1 = \sum_{i=1}^3 f_i + 1 = b_0,$$

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$$\sum_{i=1}^3 g_i \eta_i + \sum_{i=1}^m \varepsilon_i + a_1 = \sum_{i=1}^3 f_i \eta_i + a_1 = \text{tr}(A) = 0,$$

$$\sum_{i=1}^3 g_i \eta_i^2 + \sum_{i=1}^m \varepsilon_i^2 + a_1^2 = \sum_{i=1}^3 f_i \eta_i^2 + a_1^2 = \text{tr}(A^2) = b_0 a_1.$$

Some linear combination of these shows that

$$\{\varepsilon_1, \dots, \varepsilon_m\} = \emptyset, \quad \text{and} \quad f_1 = g_1, f_2 = g_2, f_3 = g_3$$

Local characterization of $J_q(n, d)$

Proposition (G., Koolen)

Let Γ be a DRG with the intersection array of $J_q(2d, d)$, $d \geq 3$. Then, for every vertex $x \in \Gamma$, $\Gamma_1(x)$ has the spectrum of the q -clique extension of the $\begin{bmatrix} d \\ 1 \end{bmatrix} \times \begin{bmatrix} d \\ 1 \end{bmatrix}$ -lattice.

This result gives a very strong evidence that $J_q(2d, d)$ is unique.

Local characterization (Numata, Cohen, [BCN, Thm 9.3.8])

$\Gamma \simeq J_q(n, d)$ if $\Gamma_1(x)$ is the q -clique extension of lattice and every μ -graph is the $(q+1) \times (q+1)$ -lattice.

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A spectral characterization of the q -clique extension of $r \times s$ -lattice.

E. van Dam: 3-clique ext. of 3×3 -lattice has a cospectral mate.

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Triple intersection numbers

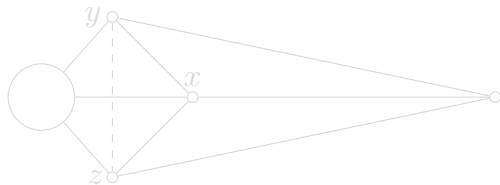
Let Γ be a Q -polynomial DRG with diameter ≥ 3 .

Fix a triple of vertices x, y, z such that $x \sim y, x \sim z$.

An important idea leading to the Terwilliger polynomial:

$$|\Gamma_i(x) \cap \Gamma_{i+1}(y) \cap \Gamma_{i+1}(z)| = \alpha_{i,\delta} |\Gamma_1(x) \cap \Gamma_1(y) \cap \Gamma_1(z)| + \beta_{i,\delta}$$

for some α, β depending on $i, \iota(\Gamma)$, and $\delta := \text{dist}_\Gamma(y, z)$.



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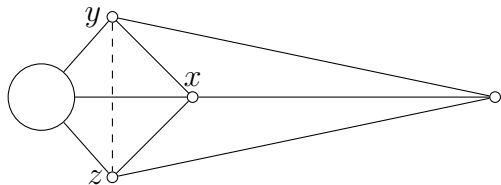
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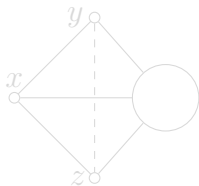


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If $\iota(\Gamma) = \iota(J_q(2d, d))$ and the diameter d is **odd**, then α turns to be non-integer.

One can show that



$y \not\sim z :$

$$|\Gamma_1(x) \cap \Gamma_1(y) \cap \Gamma_1(z)| \equiv q - 1 \pmod{q + 1}$$

$y \sim z :$

$$|\Gamma_1(x) \cap \Gamma_1(y) \cap \Gamma_1(z)| \equiv 0 \pmod{q + 1}$$

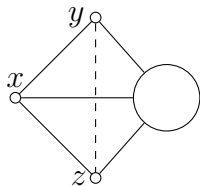
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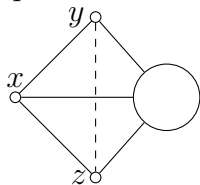
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$$\iota(\Gamma) = \iota(J_q(2d, d)), \quad q = 2, \text{ odd } d$$

If $q = 2$ then



$y \neq z$:

$$|\Gamma_1(x) \cap \Gamma_1(y) \cap \Gamma_1(z)| \in \{1, 4, 7\}$$

$$\tau_\ell := \#\{z \in \Gamma_1(x) \cap \Gamma_2(y) : \#\Gamma_1(x) \cap \Gamma_1(y) \cap \Gamma_1(z) = \ell\}.$$

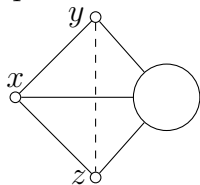
$\Gamma_1(x)$ is regular and has the four distinct eigenvalues \Rightarrow
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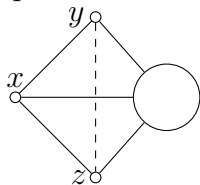
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Summary, open problems

Theorem (G., Koolen)

The Grassmann graph $J_2(2d, d)$ is characterized by its intersection array if d is odd.

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$$p_T(\eta) := p_1(\eta)p_2(\eta) - p_3(\eta)^2,$$

where

$$p_1(\eta) = -\eta^2 + (a_1 - c_2)\eta + (k - c_2),$$

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The Terwilliger polynomial

$$p_2(\eta) = p_{23}^1 \left(\tau_0 \eta^2 + (\tau_1 - \tau_2) \eta + (1 - a_1 \tau_0 - \tau_2) \right)$$

$$\tau_0 = \frac{1}{b_1} \times \frac{(\theta_2^* - \theta_1^*)(\theta_0^* + \theta_1^* - \theta_2^* - \theta_3^*)}{(\theta_0^* - \theta_2^*)(\theta_3^* - \theta_2^*)},$$

$$\tau_1 = \frac{\theta_2^* - \theta_1^*}{\theta_3^* - \theta_2^*} \left(\frac{(\theta_1^* - \theta_3^*)}{(\theta_0^* - \theta_2^*)} - \frac{a_1 - 1}{b_1} - \frac{(a_1 - 1)(\theta_1^* - \theta_3^*)}{b_1(\theta_0^* - \theta_2^*)} \right),$$

$$\begin{aligned} \tau_2 = & \frac{\theta_2^* - \theta_1^*}{\theta_3^* - \theta_2^*} \left(\frac{(\theta_1^* - \theta_3^*)}{(\theta_0^* - \theta_2^*)} - \frac{a_1 + 1 - c_2}{b_1} - \frac{(a_1 + 1)(\theta_1^* - \theta_3^*)}{b_1(\theta_0^* - \theta_2^*)} \right) + \\ & + \frac{(\theta_1^* - \theta_2^*)^2 - (\theta_0^* - \theta_1^*)(\theta_2^* - \theta_3^*)}{(\theta_0^* - \theta_1^*)(\theta_0^* - \theta_2^*)} - \frac{1}{b_1} \times \frac{(\theta_0^* - \theta_1^*)(\theta_0^* + \theta_1^* - \theta_2^* - \theta_3^*)}{(\theta_0^* - \theta_2^*)(\theta_1^* - \theta_2^*)} \end{aligned}$$

where

$$E_1 = \theta_0^* A_0 + \theta_1^* A_1 + \dots + \theta_D^* A_D.$$

Thank you!

ありがとうございました